



Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/923,251

08/03/2001

Kazuhiro Ide

TSU001

CONFIRMATION NO. 9149

FORMALITIES LETTER
OC000000006539631

25962 SLATER & MATSIL, L.L.P. 17950 PRESTON RD, SUITE 1000 DALLAS, TX 75252-5793

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 SZEWDIE1 00000039 09923251

01 FC:205 02 FC:201 65.00 OP 370.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- ▼ To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$840.

A copy of this notice <u>MUST</u> be returned with the reply.

41

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TRANSMITTAL FORM (to be used for all correspondence after initial filing) First Named Inventor Group Art Unit Examiner Name TBD Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers (for an Application) Transmittal Form After Final After Final After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Signature Signature Signature Signature October 3001	Please type bolus sign		persons are re	equired to		Traden	PTO/SB/21 (08-00) oved for use through 10/31/2002. OMB 0851-003- nark Office; U.S. DEPARTMENT OF COMMERCE tion unless it displays a valid OMB control number	E /	
First Named Inventor Ide, Kazuhiro Group Art Unit TBD	TRA	NSMITTA	۱L	Δ	pplication Number	•	09/923,251		
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Examiner Name TBD Total Number of Pages in This Submission ENCLOSURES (check all that apply) ENCLOSURES (check all that apply) Assignment Papers (for an Application) Appeal Communication to Group Appeal Communication to Board of Appeals and Interferences Amendment / Reply After Final After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Incomplete Application SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Examiner Name TBD Attorney Docket Number TSU001 After Allowance Communication to Group After Allowance Communication to Group Appeal Communication Appeal Communica	(to be used for a		nitial filing)	F	irst Named Invento	or			
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Appeal Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Petition Proprietary Information Proprietary Information Petition Proprietary Information Status Letter Status Letter Other Enclosure(s) (please identify below): Response to Missing Parts Incomplete Application Incomplete App	X Fee Transmit		▼ As	signme	nt Papers				
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After Final After Final Petition Petition Proprietary Information Status Letter Other Enclosure(s) (please identify below): Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Terminal Disclosure (s) (please identify below): Return Postcard Return Postcard Return Postcard	Amendment	/ Reply					Appeal Communication to Group		
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Jan		Slater & Matsil P.L.	<u> </u>	— <i>//</i>	4				
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CERTIFICATE OF MAILING			CERT	IFICAT	E OF MAILING				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

Date

October 4, 2001

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 4	175.0	0
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Complete if Known						
Application Number	09/923,251					
Filing Date	8/3/2001					
First Named Inventor	Ide					
Examiner Name	TBD					
Group Art Unit	TBD					
Attorney Docket No.	TSU001					

METHOD OF PAYMENT	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge		ADDIT	IONA	L FEE:	S		
indicated fees and credit any overpayments to:		Large Entity		Small Entity			
Deposit 50.4005	Fee	Fee	Fee	Fee			
Account Number 50–1065	Code	(\$)	Code	(\$)	Fee Description	Fee Paid	
Deposit Account Slater & Matsil, L.L.P.	105	130	205	65	Surcharge – late filing fee or oath	65.00	
X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge – late provisional filing fee or cover sheet		
X Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification		
2. x Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination		
X Check Credit Card Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840°	Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month		
Large Entity Small Entity	116	400	216	200	Extension for reply within second month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension for reply within third month		
101 740 201 370 Utility filing fee 370.00	118	1,440	218	720	Extension for reply within fourth month	,	
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal		
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 370.00	140	110	240	55	Petition to revive – unavoidable		
2. EXTRA CLAIM FEES	141	1,280	241	640	Petition to revive – unintentional		
Fee from Extra Claims below Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)		
Total Claims -20**= X =	143	460	243	230	Design issue fee		
Independent 3**= X =	144	620	244	310	Plant issue fee		
Multiple = Dependent =	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt		
103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
109 84 209 42 **Reissue independent claims over original patent	179	740	279	370	Request for Continued Examination (RCE)		
110 18 210 9 **Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)0	Other f	ee (spec	ify) _				
** or number previously paid, if greater, For Reissues, see above	*Reduce	ed by Basi	ic Filing F	ee Paid	SUBTOTAL (3) (\$) 105.00		

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Steven H. Slater	Registration No. (Attorney/Agent) 35	361	Telephone	972-732-1001	
Signature	Min			Date	October 4, 2001	

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